

**Excerpts from NCAA Compliance Report  
Submitted by SHSU, 2008**

**5. Based upon the institution's experience in the last three years, list the decisions related to intercollegiate athletics in which the institution's president or chancellor has been significantly involved.**

Over the past three years, President James F. Gaertner has taken an active role in decisions regarding intercollegiate athletics. He serves as a member of the Southland Conference Board of Directors and participates in meetings of the Southland Conference. The President actively supports fund-raising activities of the Bearkat Club and the Kat Pack by attending functions, speaking at luncheons, and welcoming honorees at University athletics functions.

In addition, the President has taken direct part in the following decisions involving athletics:

- In 2004, at the President's direction, the reporting lines for the Department of Athletics were changed from the Vice President for Student Services to the President.
- In 2002-03, the President formalized a new funding plan for athletics. This plan increased Athletic Department funding from Student Service Fees, the General Use Fund, donations, and revenue from game guarantees and gate receipts.
- Within the past three years, the President initiated the addition of revenue streams generated from outside athletic sources that will be matched by the University.
- The President took the lead in bringing about the construction of new athletic facilities (weight room, baseball/softball complex), as well as the renovation of athletic facilities (press box, offices, learning enhancement center, track, football turf).
- The President took the main part in the decision to add women's soccer and to review Title IX. In 2008, the President announced the decision to add an additional women's sport, bowling, to begin in fall 2008.
- The President appointed the new Faculty Athletic Representative.

**6. Describe the process by which the institution makes major decisions regarding intercollegiate athletics. If the institution has different processes for making various major decisions regarding intercollegiate athletics, describe the process for making each major decision. For each process, describe the role and authority of the institution's governing board, the president or chancellor, the athletics board or committee (if one exists), the faculty athletics representatives(s), the director of athletics, and any other appropriate campus constituencies (e.g. faculty, student, institutional personnel involved in rules-compliance activities) in the process.**

The Board of Regents of The Texas State University System is responsible for the financial oversight for the entire University, to include the Department of Athletics. The Board of Regents is the deciding agency for major decisions regarding the addition or reduction of university-supported athletic teams and changes in athletic association classification. Otherwise, principle authority over athletics lies with President James Gaertner. The President of the University reports directly to the Board of Regents but is responsible for all operations and policy changes associated with the Department of Athletics. As of September 2004, the Director of Athletics reports to the President instead of the Vice President of Student Services. The President now has oversight of travel, purchases, personnel matters, and infractions. He makes final decisions concerning conference activities of the University and decisions concerning any major changes in funding of the Department of Athletics associate through Student Service Fees allocations. The Vice President for Financial Operations reports to the President of the University. He responsible for the oversight of Department of Athletics budget processes.

The Director of Athletics reports to the President but within the bounds of this oversight has authority for making major decisions in the athletics program. He is responsible for compliance and eligibility, facilities, budget preparations, personnel and support services. He makes major budgeting and program decisions. He recommends the hiring and terminating of Department of Athletics staff, coordinates the activities of the various sports, and communicates University concerns to the coaches.

The following committees and individuals do not have major decision-making authority but are important sources of advice and consultation to the President and the Director of Athletics and thus are part of the process of major decisions in the athletic program:

- The Faculty Athletic Representative serves as an advisor to the President and as a member of the Athletics Advisory Council as well as a liaison between the University and the NCAA and the Conferences with which the University has been affiliated. He does not make any decisions concerning the operation of the athletics program. His charge is to provide assistance that will help SHSU maintain institutional control over the Department of Athletics.
- The Athletics Advisory Council performs an annual review of all policies of the Southland Conference and the NCAA and recommends appropriate actions to the Director of Athletics and the President. It contributes to the strategic planning of SHSU athletics by providing on ongoing evaluation of the scope, needs, and benefits of the Department Athletics. It promotes the athletics program throughout the University.
- The Title IX Coordinator of Compliance oversees athletics concerns relative to gender equity issues as defined by Title IX and recommends appropriate modifications to the University Title IX compliance plan.
- The coaches reports directly to the Director of Athletics. They are responsible for the day-to-day operation within their respective area, including scheduling of games and practices and budgeting entries.
- The Southland Conference Office is responsible for compliance, promotions and the championship scheduling in the Southland Conference and for all decisions associated with each of the above.
- The Vice President for University Advancement serves on the President's Cabinet, enabling him to provide input into any decision-making for the Department of Athletics.