

**FINANCE 334W-01  
COURSE SYLLABUS  
FALL, 2007**

- I. Course Title: Financial Institutions and Markets; SHB 204  
**MW 08:00 - 09:30 A.M.**  
**MW 11:00 - 12:30 P.M.**  
**MW 12:30 - 02:00 P.M.**
- II. Instructor: Dr. Don Brown
- III. Office: 210L Smith-Hutson Building
- IV. Phone: 936 294-1294; Fax: 936 294-3074
- V. E-mail: [fin\\_djb@shsu.edu](mailto:fin_djb@shsu.edu)
- VI. Office Hours: MO 2:00 - 2:30 P.M.  
MOWE 7:30 - 8:00 & 9:30 - 11:00 A.M.  
TUTH 7:30 - 12:00
- VII. Required Text: Financial Markets Institutions, Mishkin and Eakins, 5<sup>th</sup> ed. and selected outside readings
- VIII. Supplemental Requirements: Financial Calculator (HP10B or TIBA II Plus)
- IX. Course Description:  
This course will explore the structure of the financial system with emphasis on the role, operations, and regulations of financial institutions and markets, including international. The nature, participants, instruments and relationships of the money and capital markets will be examined.
- X. Course Objectives: Gaining factual knowledge (terminology, classification methods, trends). Learning fundamental principles, generalizations or theories. Developing skill in expressing oneself orally or in writing.
- XI. Method of Instruction: Lecture over assigned material, discussion of material and current related issues, as well as discussion of end-of-the chapter questions and problems. Current event topics will be assigned and students will be given the responsibility of leading these discussions. Selected readings will be assigned for write-up and grading. Since this is a writing enhanced course, a major portion of your grade will come from writing assignments and short answer questions on exams. All lecture notes will be posted on Blackboard

- XII. Course Outline: (Attached)
- XIII. Grading and Evaluations:
- |  |                   |
|--|-------------------|
| 4 Exams @ 100 points each                          | 400 points        |
| Research projects,<br>other assignments or quizzes | <u>100</u> points |
| Approximate total points                           | 500               |

(90% > = A; 80-89% = B; 70-79% = C; 60-69% = D; <60% = F)  
All grades will be posted on Blackboard.

- XIV. Homework Assignments: Assignments must be turned in by the due date. Those turned in after the due date will be significantly penalized, if they are accepted. All assignments will be posted on Blackboard.

- XV. Attendance Policy: You are expected to be in class by the time class begins. Everyone begins the semester with 15 bonus attendance points and will lose 5 points for each unexcused absence. If you are consistently late for class (more than two times), you will lose attendance points as if you were absent.

- XVI. Make-up Exams: It is not anticipated that a make-up exam will be needed. If a make-up exam is given, there will be a single comprehensive essay exam at which all students who are granted permission to take the exam will sit. Permission to make up a major exam will be granted only for excused absences. To be excused from an exam you must contact the instructor before the exam and if that is not possible, then as quickly as possible or you will receive a zero for that exam.

- XVII. **Academic Dishonesty**  
**Don't go there! Penalty is failure in the class!**

- XVIII. Other Issues: My objective is for everyone to be successful in this class. If you come to class regularly, stay current in your reading assignments, work the assigned problems, study the end-of-the chapter questions and ask for help when you need it, you will be successful in my class.

The key to success in this class, or any class, is work. It is also the key to success after you complete college. I pledge to work to make the topics current and the class interesting, but at least 50% of the responsibility for the success of the class is yours. The more interested you are in the topics and the more you prepare for class, the more interesting the class will become and the more successful you will be. Let's work together for a very successful class!

- XIX. Religious Holiday Policy: Students who plan to be absent for the observance of religious holiday must inform the instructor of the days they will be absent no later than Sept. 6<sup>th</sup>. Any exam or other assignment which will be missed must be completed prior to the absence.

XX. Handicapped Student Policy: It is the policy of Sam Houston State University that no otherwise qualified disabled individual shall, solely by reason of his/her disability, be excluded from the participation in, be denied benefits of, or be subjected to discrimination under any academic, Student Life program, or activity. Handicapped students may request academic assistance when needed from a Committee for Continuing Academic Assistance for Disabled Students by visiting the Director of the Counseling Center in the Lee Drain Building Annex, or by calling extension 41720 (936-294-1720).

## COURSE OUTLINE: FINANCE 334

<u>DATE</u>	<u>CHAPTER</u>	<u>SUBJECTS COVERED</u>
AUG..		
20	2	Overview of financial system
22	15	Why do financial institutions exist?
27	9	Money markets
29	10	Bond markets
SEPT.	3	HOLIDAY
5	EXAM	CHAPTERS 2, 15, 9 & 10
10 - 12	11	Stock Markets
17	3	Interest rates role in valuation
19	4	Factors affecting interest rates
24 - 26	5	How risk affects interest rates
OCT 1	EXAM	CHAPTERS 11, 3, 4 & 5
3	7	The Federal Reserve System
8 - 10	8	Monetary policy tools of the Fed
15	12	Mortgage market
17	19	Savings and loans and credit unions
22	17	Banking and the management of financial inst.
24	EXAM	CHAPTERS 7, 8, 12, 19 & 17
29 - 31	21	Mutual funds
NOV. 5	22	Insurance companies & pension funds
7 - 12	23	Investment banks, security brokers & dealers and venture capital firms
14-19	13	The foreign exchange market
21	THANKSGIVING HOLIDAY	
26 - 28	24	Risk management in financial institutions
DEC. 3 - 5	25	Hedging with financial derivatives
10 - 13	FINAL EXAMS THIS WEEK	

**08:00 M - W CLASS FINAL DEC. 10<sup>TH</sup> 08:00 - 10:00 A.M.**

**11:00 M - W CLASS FINAL DEC. 12<sup>TH</sup> 11:00 - 01:00 P.M.**

**12:30 M - W CLASS FINAL DEC. 10<sup>TH</sup> 02:00 - 04:00 P.M.**